

PRINTED DATE 8/11/2015

Assessment ID	Finding ID	Action ID	Assessment Date - Julian	Date Created (Action Item) Julian	Assigned By(Action Item)	Assurance Provider	Assurance Product	Assurance Product Title	Hierarchy Level 0
119359	546761	546763	7/28/2011	9/20/2011	Pamela Nelson	Assurance: Business Mgmt Self Assessment	BMSA: Compliance Review (Cat2)	2011 PSM/RMP Compliance Audit	Global Assurance
119359	546764	546765	7/28/2011	9/20/2011	Pamela Nelson	Assurance: Business Mgmt Self Assessment	BMSA: Compliance Review (Cat2)	2011 PSM/RMP Compliance Audit	Global Assurance
119359	546764	546766	7/28/2011	9/20/2011	Pamela Nelson	Assurance: Business Mgmt Self Assessment	BMSA: Compliance Review (Cat2)	2011 PSM/RMP Compliance Audit	Global Assurance
124994	576565	576593	7/28/2011	1/23/2012	Dawn Shanahan	Assurance: Local	Local: Miscellaneous (Cat3)	2011 PSM/RMP Compliance Audit	Global Assurance
124994	576585	576588	7/28/2011	1/23/2012	Dawn Shanahan	Assurance: Local	Local: Miscellaneous (Cat3)	2011 PSM/RMP Compliance Audit	Global Assurance
124994	576585	576617	7/28/2011	1/23/2012	Dawn Shanahan	Assurance: Local	Local: Miscellaneous (Cat3)	2011 PSM/RMP Compliance Audit	Global Assurance
158316	836273	836275	5/16/2014	2/10/2015	Tone Martin	Assurance: Local DS	Local DS: HSE Review (Cat3)	2014 PSM/RMP Regulatory Compliance	Shell Assurance

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Hierarchy Level 1	Hierarchy Level 2	Hierarchy Level 3	Hierarchy Level 4	Function (Assurance)	Country (Assurance)	Assurance Coordinator (Action Item)	Auditee Name	Action Party	Category Names - Findings
Assurance - DS	DS Manufacturing	Assurance - DS: Manufacturing: Puget Sound	Manufacturing: Puget Sound	Not functionally specific	USA		Tom Rizzo	Thomas Braaten	
Assurance - DS	DS Manufacturing	Assurance - DS: Manufacturing: Puget Sound	Manufacturing: Puget Sound	Not functionally specific	USA		Tom Rizzo	Dean Overman	
Assurance - DS	DS Manufacturing	Assurance - DS: Manufacturing: Puget Sound	Manufacturing: Puget Sound	Not functionally specific	USA		Tom Rizzo	Jaylynn Jackson	
Assurance - DS	DS Manufacturing	Assurance - DS: Manufacturing: Puget Sound	Manufacturing: Puget Sound	Not functionally specific	USA		Tom Rizzo	Thomas Braaten	
Assurance - DS	DS Manufacturing	Assurance - DS: Manufacturing: Puget Sound	Manufacturing: Puget Sound	Not functionally specific	USA		Tom Rizzo	Dean Overman	
Assurance - DS	DS Manufacturing	Assurance - DS: Manufacturing: Puget Sound	Manufacturing: Puget Sound	Not functionally specific	USA		Tom Rizzo	Jaylynn Jackson	
Assurance - DS	DS Manufacturing	Puget Sound	Puget Sound	Not functionally specific	USA	Tone Martin	Tom Rizzo	Kelly Tuohig	

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Finding Severity	Finding RAM Rating	Finding Short Description	Finding Note	Action Short Description
Low		PSR Hot Work Permits	The Hot Work Permit form did not contain a space to note that the requirement to relocate or otherwise protect combustible materials that were within 35 feet of the hot work activity, was satisfied, if applicable.	PSR Hot Work Permits
Low		PSR Mechanical Integrity	A description of the inspection or test performed was not noted on some equipment inspection and testing records: • In one instance, the record of the test did not indicate what test standard was used. (equipment record checked 03PIS10) • In one instance, the record of the test indicated the calibration standard used, however, it was different than the calibration standard listed in the test document. (The test record did not indicate why a different calibration standard was used).	PSR Mechanical Integrity PEI
Low		PSR Mechanical Integrity	A description of the inspection or test performed was not noted on some equipment inspection and testing records: • In one instance, the record of the test did not indicate what test standard was used. (equipment record checked 03PIS10) • In one instance, the record of the test indicated the calibration standard used, however, it was different than the calibration standard listed in the test document. (The test record did not indicate why a different calibration standard was used).	PSR Mechanical Integrity MEI
Low		PSR Hot Work Permits	The Hot Work Permit form did not contain a space to note that the requirement to relocate or otherwise protect combustible materials that were within 35 feet of the hot work activity, was satisfied, if applicable.	PSR Hot Work Permits
Low		PSR Mechanical Integrity	Taken from #546764 which was entered incorrectly. A description of the inspection or test performed was not noted on some equipment inspection and testing records: • In one instance, the record of the test did not indicate what test standard was used. (equipment record checked 03PIS10) • In one instance, the record of the test indicated the calibration standard used, however, it was different than the calibration standard listed in the test document. (The test record did not indicate why a different calibration standard was used).	PSR Mechanical Integrity PEI
Low		PSR Mechanical Integrity	Taken from #546764 which was entered incorrectly. A description of the inspection or test performed was not noted on some equipment inspection and testing records: • In one instance, the record of the test did not indicate what test standard was used. (equipment record checked 03PIS10) • In one instance, the record of the test indicated the calibration standard used, however, it was different than the calibration standard listed in the test document. (The test record did not indicate why a different calibration standard was used).	PSR Mechanical Integrity PEI
Compliance		PSM Incident Investigation report	A PSM Incident Investigation report had a documented investigation start date greater than 48 hours following the incident. Records of the investigation showed the investigation began within two hours of the incident.	Review all PSM Investigation Reports dating back to 2006

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Action Required	Action Taken	Original Due Date - Julian	Target Date - Julian	# Of Due Date Changes
Incorporate the fire prevention and protection requirement of 35' into the hot work permit within our electronic permit system. Assigned to Tommy Braaten with 12/1/2012 due date.	moved to 576593 due to incorrect entry into FAM as Cat 2	12/1/2012	12/1/2012	0
Audit PEI Test and Inspection records to assure requirements of WAC 296-67-037 and 40 CFR 68.73(d)(4) are being fully complied with. Report results of the audit to the Technical Assurance Manager. For any findings identified, create subsequent Fountain action item to address the finding. Assigned to Dean Overman with 7/10/2012 due date	See 576588	7/10/2012	7/10/2012	0
Audit MEI Test and Inspection records to assure requirements of WAC 296-67-037 and 40 CFR 68.73(d)(4) are being fully complied with. Report results of the audit to the Technical Assurance Manager. For any findings identified, create subsequent Fountain action item to address the finding. Assigned to Jaylynn Jackson with 7/10/2012 due date.	see 576617	7/10/2012	7/10/2012	0
Incorporate the fire prevention and protection requirement of 35' into the hot work permit within our electronic permit system. Assigned to Tommy Braaten with 12/1/2012 due date.	Complete	12/1/2012	12/1/2012	0
Audit PEI Test and Inspection records to assure requirements of WAC 296-67-037 and 40 CFR 68.73(d)(4) are being fully complied with. Report results of the audit to the Technical Assurance Manager. For any findings identified, create subsequent Fountain action item to address the finding. Assigned to Dean Overman with 7/10/2012 due date	i reviewed both WAC and 40 CFR paragraphs regarding this item. The PEI Inspection Data Management System (IDMS) meets all the requirements as outlined in each regulation referenced paragraph. See attachment of sample report from IDMS and the mapping to each requirement in the paragraphs. No further action required.	7/10/2012	7/10/2012	0
Audit PEI Test and Inspection records to assure requirements of WAC 296-67-037 and 40 CFR 68.73(d)(4) are being fully complied with. Report results of the audit to the Technical Assurance Manager. For any findings identified, create subsequent Fountain action item to address the finding. Assigned to Dean Overman with 7/10/2012 due date	Since the audit, we have asked the I&E technicians to properly fill out their IPF testing documents, so we can be in compliance with WAC 296-67-037 and 40 CFR 68.73(d)(4). On June 4th, Ken Patton and I performed an audit for eight testing documents to see if the technicians are completing the information. Below are the test procedures: 1PIS22A, 3PIS10, 11PIS07, 12PIS03A&B, 6PIS02A, 16PIS06, and 16PIS09. All these test procedures were filled out properly and are in compliance with WAC 296-67-037 and 40 CFR 68.73(d)(4). The IPF Specialist will continue to perform spot audits as he receives future test reports. Action item is complete and will be closed.	7/10/2012	7/10/2012	0
Review all PSM Investigation Reports dating back to 2006 and insure/document that the initial data gathering for the incident occurred within 48 hours of the incident. This initial data gathering date should be documented on all investigation reports. In early 2014, the Incident Investigation Report Template was modified to include the date that initial data was gathered. This date is in addition to the chartered investigation start date.		6/1/2015	6/1/2015	0

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Target Date changed on - Julian	Target Date Changed by (Personnel)	Reason for Target Date Change	Date Completed (Action) - Julian	Date Closed - Julian	Status Assurance (Action Item)	Past Due Action Item?	Assurance Reference Number	Assessment (Closed Date)	Attachment Count (Actions)	Reopenedyn	Plan ID	Line Manager of Auditee
			1/23/2012	1/23/2012	Closed	No	2011 PSM/RMP Compliance Audit	23/01/2012 20:26:30	1	N		
			1/23/2012	1/23/2012	Closed	No	2011 PSM/RMP Compliance Audit	23/01/2012 20:26:30	1	N		
			1/23/2012	1/23/2012	Closed	No	2011 PSM/RMP Compliance Audit	23/01/2012 20:26:30	1	N		
			10/29/2012	10/29/2012	Closed	No	2011 PSM/RMP Compliance Audit		1	N		
			4/9/2012	4/9/2012	Closed	No	2011 PSM/RMP Compliance Audit		3	Y		
			1/23/2012	6/5/2012	Closed	No	2011 PSM/RMP Compliance Audit		0	Y		
					Open	No	PSM/RMP Regulatory Compliance		1			

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